

REMARKS / ARGUMENTS

In connection with the Request for Continued Examination filed herewith, Applicants hereby respond to the Final Office Action dated January 27, 2005 ("the Office Action"), in which claims 151-155 and 186-195 were rejected. Applicants respectfully request that the Office consider the following remarks, and pass the pending claims to issuance.

In the Office Action, the Examiner: (i) objected to the Information Disclosure Statement for failing to comply with 37 C.F.R. § 1.98(a)(2), and (ii) rejected claims 151-155 and 186-195 under 35 U.S.C. § 102(e) as allegedly being anticipated by Benson et al. (5,845,281).

Information Disclosure Statement

Applicants file herewith, under separate cover to facilitate the disparate USPTO processing required therefor, an Information Disclosure Statement in compliance with 37 C.F.R. § 1.98(a)(2). Specifically, hardcopy submissions of all non-patent literature and foreign patent references are submitted in this accompanying IDS.

Rejection of Claims 151-155 and 186-195 under 35 U.S.C. § 102(e)

In the Office Action, the Examiner rejected all of the pending claims under 35 U.S.C. § 102(e) as being anticipated by U.S. Patent No. 5,845,281 to Benson et al. ("Benson").

Applicants respectfully submit that the pending claims are allowable over Benson for at least the reasons set forth below.

Claims 151 and 191 recite the association of a rule with a secure container, the rule at least in part specifying potential users or recipients of the secure container contents, the specifying being based at least in part on a class to which the potential users or recipients have been assigned. Claims 151 and 191 further recite evaluating a digital certificate associated with a potential user or recipient, determining, based at least in part on the digital certificate, that the potential user or recipient has been assigned to the class, and, based on the determination, making at least some of the secure container contents available to the potential user or recipient.

Applicants respectfully submit that Benson does not teach or suggest, *inter alia*, evaluating a digital certificate associated with a potential user or recipient, determining, based at least in part on the digital certificate, that the potential user or recipient has been assigned to a class, and, based on the determination, making at least some of a secure container's contents available to the potential user or recipient.

Indeed, Benson does not even mention digital certificates, much less the use of digital certificates in the manner recited in claims 151 and 191. Instead, the cited portions of Benson refer generically to "security modules" (see Benson col. 6, lines 49-54) and the examination of various information, including "user type" (see Benson col. 9, line 60 through col. 10, line 5). Benson does not indicate that digital certificates are used in any manner by these security modules, or that the examination of "user type" involves the use

of digital certificates. This is because Benson is completely silent about digital certificates and their use. In fact, Benson teaches away from the use of digital certificates by indicating that information on user type would be manually entered by the user. See Benson col. 14, lines 52-55 ("Next, the user program 35 compares the first control element data which is 1, for educational users only, to user information **entered by the user** on request of the user program. Since the user type **entered by the user** is the same as that indicated in the first usage element the process continues.)(emphasis added). For at least these reasons, Applicants respectfully submit that claims 151 and 191 are not anticipated by Benson.

In addition, claim 191 recites the assignment of potential users or recipients to a class, the assignment being based at least in part on information regarding the potential user or recipient's **previous use** of content. Applicants respectfully submit that Benson does not teach or suggest this element.

Instead, the cited portions of Benson describe the creation of a user set of control data based on a general set of control data, a copy of which can be stored in a broker's database, and against which the broker can "compare **subsequent use**" (see Benson col. 10, lines 15-22)(emphasis added). The cited passage does not describe the assignment of potential users or recipients to a class, much less the assignment of potential users or recipients to a class based on their **previous** use of content. Indeed, to the extent Benson describes an examination of content usage, it is performed after the creation of the user set of control data, and it is not used to assign the user to a class. Moreover, to the extent Benson describes different types of users (e.g., student users and business users), these types reflect differences in the users' socio-economic status, and do not suggest a classification based on users' previous use of content. For at least these additional

reasons, Applicants respectfully submit that claim 191 is not anticipated by Benson.

Claims 152-155, 186-190, and 192-195 are ultimately dependent on claims 151 or 191, and are thus allowable for at least the reasons set forth above in connection with claims 151 or 191, respectively.

CONCLUSION

In view of the foregoing remarks, Applicants submit that the pending claims are in allowable form, and respectfully request reconsideration of the rejections and the timely allowance of the pending claims.

Please grant any extensions of time required to enter this response and charge any additional required fees to our Deposit Account 06-0916.

Respectfully submitted,

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Dated: July 26, 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Victor H. Shear, et al.

Serial No.: 09/498,369

Filed: February 4, 2000

For: METHODS FOR MATCHING,
SELECTING, AND/OR
CLASSIFYING BASED ON
RIGHTS MANAGEMENT AND/OR
OTHER INFORMATION

Group Art Unit: 3629

Examiner: Thomas A. DIXON

Confirmation No.: 8725

Mail Stop - AMENDMENT

Commissioner for Patents

P.O. Box 1450

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Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Applicants submit this paper in association with a Request for Continued Examination, which is filed under separate cover due to the disparate processing required by the Office. Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants hereby resubmit the documents on the attached listing in hardcopy format. This Information Disclosure Statement is being filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination in the above-referenced application.

Copies of the listed non-patent literature and foreign patent documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

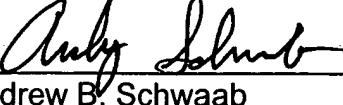
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

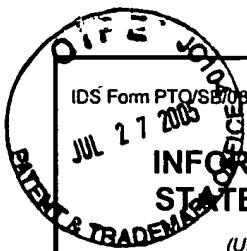
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Sheet	1	of	25	Attorney Docket Number	07451.0010-01
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Complete if Known

Application Number	09/948,369
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First Named Inventor	Victor H. SHEAR et al.
Art Unit	3629
Examiner Name	Thomas A. DIXON

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁴
		Country Code ¹ Number ² Kind Code ³ (if known)				
		AU-A-36815/97	2/19/1998			
		AU-A-36816/97	2/19/1998			
		AU-A-36840/97	2/19/1998			
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		WO 97/43761	11/20/1997			
		WO 98/09209 (equivalent to US-5,892,900)	3/5/1998			
		WO 98/10381	3/12/1998			
		WO 98/37481 (equivalent to US-5,920,861)	8/27/1998			
		WO 98/45768	10/15/1998			
		WO 99/01815	1/20/1999			
		WO 99/24928 (equivalent to US-6,112,181)	5/23/1999			
		WO 99/48296	9/23/1999			

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
		A Brief History of the Green Project, viewed on March 12, 2002 at < http://java.sun.com/people/jag/green/index.html > pp. 1-2.	
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

25

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**INFORMATION DISCLOSURE
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(Use as many sheets as necessary)

Sheet	3	of	25	Attorney Docket Number	07451.0010-01
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(Use as many sheets as necessary)

Sheet

4

of

25

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Sheet 5 of 25

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Sheet

6

of

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Sheet

7

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Filing Date	February 4, 2000
First Named Inventor	Victor H. SHEAR et al.
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Filing Date	February 4, 2000
First Named Inventor	Victor H. SHEAR et al.
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Sheet

10

of

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Application Number	09/948,369
Filing Date	February 4, 2000
First Named Inventor	Victor H. SHEAR et al.
Art Unit	3629
Examiner Name	Thomas A. DIXON
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11

of

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Application Number	09/948,369
Filing Date	February 4, 2000
First Named Inventor	Victor H. SHEAR et al.
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Sheet 12 of 25

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Filing Date	February 4, 2000
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Art Unit	3629
Examiner Name	Thomas A. DIXON
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**INFORMATION DISCLOSURE
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(Use as many sheets as necessary)

Sheet

14

of

25

Complete if Known

Application Number	09/948,369
Filing Date	February 4, 2000
First Named Inventor	Victor H. SHEAR et al.
Art Unit	3629
Examiner Name	Thomas A. DIXON

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	15	of	25	Attorney Docket Number	07451.0010-01
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Complete if Known

Application Number	09/948,369
Filing Date	February 4, 2000
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Sheet	16	of	25	Filing Date	February 4, 2000
				First Named Inventor	Victor H. SHEAR et al.
				Art Unit	3629
				Examiner Name	Thomas A. DIXON
				Attorney Docket Number	07451.0010-01

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(Use as many sheets as necessary)

Sheet

17

of

25

Complete if Known

Application Number	09/948,369
Filing Date	February 4, 2000
First Named Inventor	Victor H. SHEAR et al.
Art Unit	3629
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Sheet 18 of 25

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Filing Date	February 4, 2000
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Sheet	19	of	25	Attorney Docket Number	07451.0010-01
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Application Number	09/948,369
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				<i>Filing Date</i>	February 4, 2000
				<i>First Named Inventor</i>	Victor H. SHEAR et al.
				<i>Art Unit</i>	3629
				<i>Examiner Name</i>	Thomas A. DIXON
Sheet	20	of	25	<i>Attorney Docket Number</i>	
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Sheet 20 of 21

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				First Named Inventor	Victor H. SHEAR et al.
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Sheet	21	of	25	Attorney Docket Number	07451.0010-01

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Sheet	22	of	25	<i>Application Number</i>	09/948,369
				<i>Filing Date</i>	February 4, 2000
				<i>First Named Inventor</i>	Victor H. SHEAR et al.
				<i>Art Unit</i>	3629
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<i>Attorney Docket Number</i>	07451.0010-01			

Sheet

23

of

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Sheet

24

of

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